



#5 / K.I.  
4/26  
I.D.S.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Karl Herman SCHLINGENSIEPEN et al.

Serial No.: 09/701,583

Group Art Unit: 1632

Filed: February 5, 2001

Examiner: Unassigned

For: METHOD FOR STIMULATING THE IMMUNE SYSTEM

RECEIVED  
APR 25 2001  
TECH CENTER 1600/2900

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant(s), through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached.

The documents cited on the attached Form PTO-1449 were cited in the International Search Report. A copy of the ISR is attached.

U.S. Patent Application No.: 09/701,583  
Attorney Docket No.: P66141US0

In accordance with 37 CFR §1.97(b)(3), this Information Disclosure Statement is being filed  
before the mailing date of a first Office Action on the merits of the above-identified application.

Respectfully submitted,

JACOBSON, PRICE, HOLMAN & STERN, PLLC

By:

  
William E. Player

Registration No. 31,409

RECEIVED

APR 25 2001

TECH CENTER 1600/2900

Date: April 24, 2001

400 Seventh Street, N.W.  
Washington, DC 20004  
(202) 638-6666  
Atty. Dkt. No.: P66141US0

Sheet 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: April 24, 2001

ATTY DOCKET NO.:

P66141US0

SERIAL NO.:

09/701,583

APPLICANT: Karl Herman SCHLINGENSIEPEN et al

RECEIVED

FILING DATE:

February 5, 2001

GROUP:

APR 25 2001

1632

CLASS 1600/2900

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
WO 96/02143	02/1996	WIPO			
WO 94/25588	11/1994	WIPO			
WO 95/00103	01/1995	WIPO			

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	Piotr JACHIMCZAK et al., "The Effect of Transforming Growth Factor- $\beta_2$ Specific Phosphorothioate-anti-sense Oligodeoxynucleotides in Reversing Cellular immunosuppression in Malignant Glioma", Vol. 78, Pages 944-951, June 1993.
	Maureen SPEARMAN et al., "Antisense Oligodeoxyribonucleotide Inhibition of TGF- $\beta_1$ Gene Expression and Alterations in the Growth and malignant Properties of mouse Fibrosarcoma Cells", Vol. 149, No. 1, Pages 25-29, November 1994.
	XP-000886176, "Concomitant Expression of Interferon- $\gamma$ and Antisense TGF- $\beta$ Transgenes Enhances the Immunogenicity of a Murine Mammary Carcinoma", November 1997.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.